

RFP 018 Supplemental Retirement Recordkeeping Services Questions Received 12 August 2019
(Revised with Requestors Id' d.

Voya Financial

1. Can you please provide a breakdown by assets and investment options? **Provided in Appendix B**
2. What is the current fee structure? Is it an asset-based fee or a per head fee and what would NNPS prefer? **The Plan currently uses revenue sharing. The Plan will consider moving to a "0" revenue sharing line-up and an asset-based fee.**
3. Can you please provide the current rate of return on the Stable Value fund? **The current crediting rate for the Lincoln Stable Value Fund is 3%.**
4. Can you please provide a plan document and/or any adoption agreements? **Adoption Agreement is attached. It is in process of restatement, but no material changes are anticipated.**
5. Does NNPS prefer an open architecture platform or utilization of a proprietary Fixed account/Stable Value option? **You may provide pricing for either and/or both.**
6. Based on revenue sharing of the current investment lineup, how much is allocated to the current advisor for performing education services? **None.**

Empower Retirement

7. How many participants currently have a balance (regardless of active vs. terminated)? **403(b) 933 participant balances. 457(b)- 34 participant balances. As of 6/30/19.**
8. Please provide the total contributions to the plan in 2016, 2017, & 2018. **Contributions for last two years found in RFP.**
9. Please provide the total distributions to the plan in 2016, 2017, & 2018. **403(b) Total Number or Distributions 2017: 202 (We double checked the numbers because they were so close from 2017 to 2018) , 403(b) Total Number or Distributions 2018: 201**
10. Please provide the total number of loans outstanding as well as the total number of loans issued in 2018. **Loans represent 2% of Plan assets. Outstanding Loans at 12/31/2018: 94 New Loans Initiated in 2018: 26**
11. Please provide the current service days provided by your recordkeeper. How many group meetings and how many individual meetings were held in 2018? How many days per year would NNPS prefer going forward? **Please provide your proposal for service days.**
12. Please provide information on the fixed fund in the plan today. What is the current crediting rate? What are the expense ratios? Are there any termination provisions or liquidity restrictions (i.e. MVA, 12 month put, etc.)? **See question 3 above.**
13. Please provide a list of any ancillary fees that are currently being charged to the plan and or its participants. i.e) QDRO's, financial advice, etc. **Please provide your proposal for ancillary fees, if any.**
14. Does the plan currently have a managed account program? If so, please provide the total assets in the program today. **No**
15. Please indicate who the payroll provider with the plan is today? **Internal staff**
16. Please provide the current plan document. **See attached Adoption Agreement and question 4 above.**
17. Please provide the current service agreements. **Bidders may provide their service agreement.**
18. What is the current fee for the plan today? **Please provide proposed pricing information.**

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AIG Retirement Services

19. Total assets and liquidity restrictions (for both 403(b) and 457(b) plans). See Appendix B
20. Active/ inactive participants (same) See question 7 above. 403(b) Active participants 705. Inactive/terminated participants – 228. 457(b) – Active participants 22. Inactive participants 12. If possible, identify unique participants and if any overlap across the plans. Number of participants with a balance in both the 403(b) and the 457 Plan: 17.
21. Annual distributions from each plan See question 9.
22. Total amount of current assets invested in Fixed/stable value accounts and any liquidity restrictions. See Appendix B
23. Current crediting rate/ GMIR. See question 3 above.
24. Any loan provisions and loans outstanding (if possible). Yes, the Plan has a loan provision. See question 10 above.